

# Characteristics of $\text{Hf}(M)\text{SiBCN}$ ( $M = \text{Y, Ho, Ta, Mo}$ ) materials: role of the $M$ choice

Martin Matas, Michal Prochazka, Jaroslav Vlcek, Jiri Houska

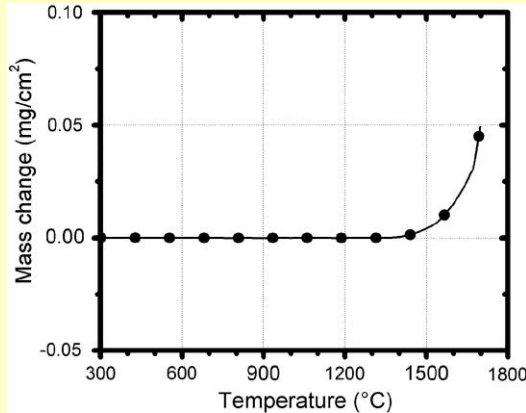
Department of Physics and NTIS – European Centre of Excellence  
University of West Bohemia  
Plzen, Czech Republic



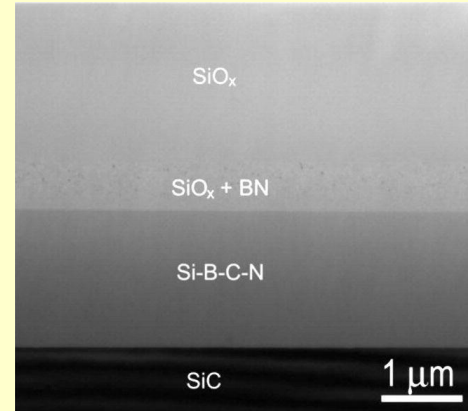
Acknowledgement: Grant Agency of the Czech Republic through Project Number 19-14011S

# Motivation

- SiBCN thin films: high hardness (>20 GPa), thermal stability and oxidation resistance



[J. Vlcek *et al.*, *Surf. Coat. Technol.* 226 (2013) 34–39]



[J. He *et al.*, *Thin Solid Films* 542 (2013) 167–173]

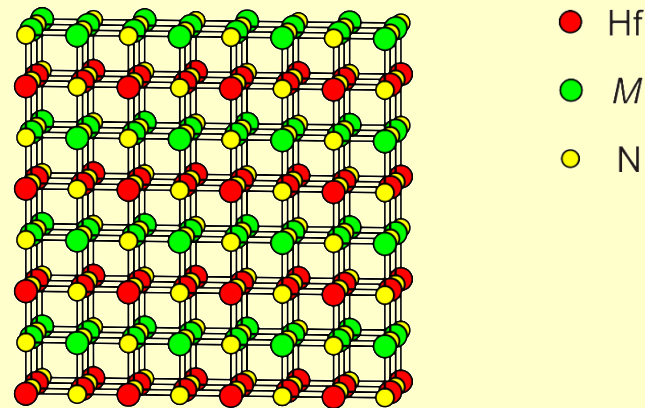
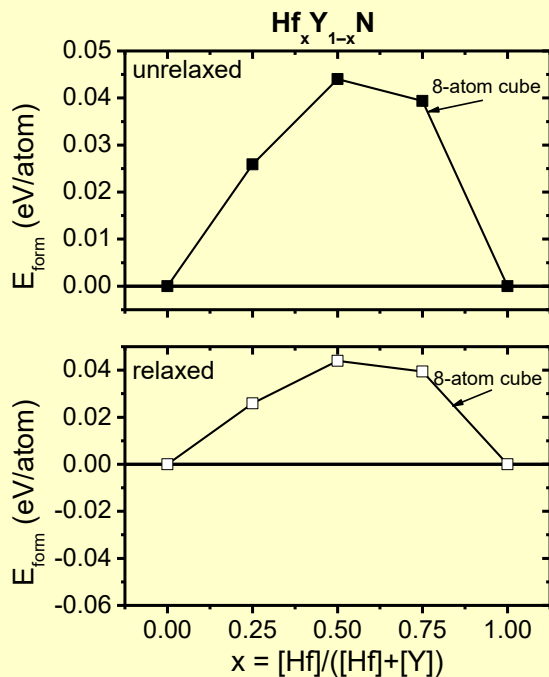
- Hf addition: enhanced electrical conductivity
- aim: explanation of properties of Hf $M$ SiBCN films with a second metal element ( $M$  = Y, Ho, Ta, Mo or an enhanced Hf content)

# Methods

- density-functional theory calculations
  - crystalline  $\text{Hf}_x\text{M}_{1-x}\text{N}$  ( $x = 0.00, 0.25, 0.50, 0.75, 1.00$ )
  - pseudopotentials + plane waves, GGA
- Car–Parrinello molecular dynamics
  - amorphous  $\text{HfM}\text{SiBCN}$
  - liquid-quench algorithm with 2 ps timescale
- pulsed magnetron sputtering
  - amorphous  $\text{HfM}\text{SiBCN}$  films (1.33–1.75  $\mu\text{m}$ )
  - composite target  $\text{Hf}_{15-20}\text{M}_5\text{Si}_{15-30}(\text{B}_4\text{C})_{45-65}$
  - 0.5 Pa reactive gas: 85% Ar + 15%  $\text{N}_2$
  - pulse length 50  $\mu\text{s}$ , duty cycle 50%

# Formation energy of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$

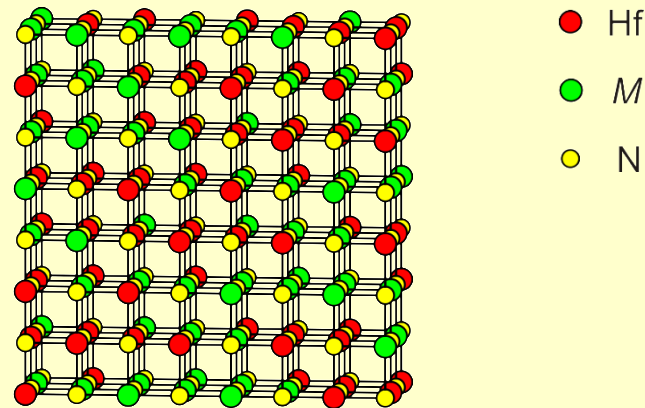
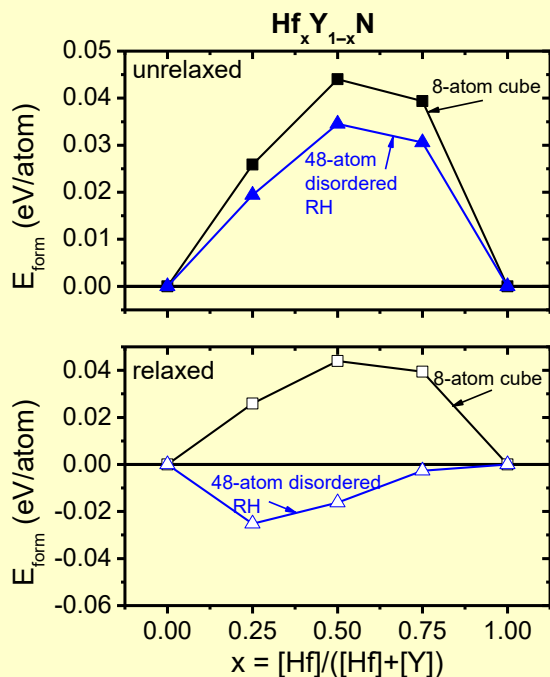
- various atomic distributions in cubic  $\text{Hf}_x\text{M}_{1-x}\text{N}$  lead to various formation energies



8-atom cube:  
homogeneous (100) + 2×(110) planes

# Formation energy of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$

- various atomic distributions in cubic  $\text{Hf}_x\text{M}_{1-x}\text{N}$  lead to various formation energies

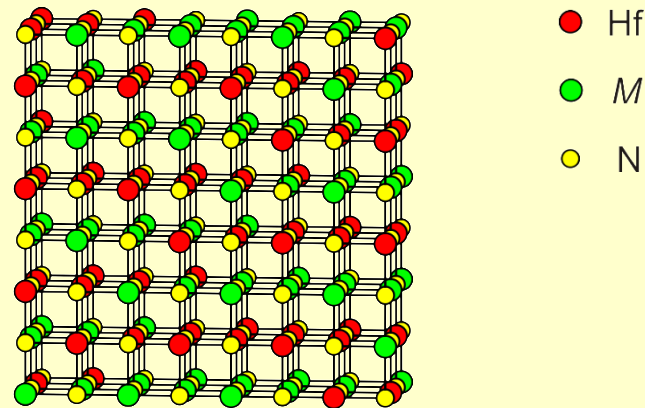
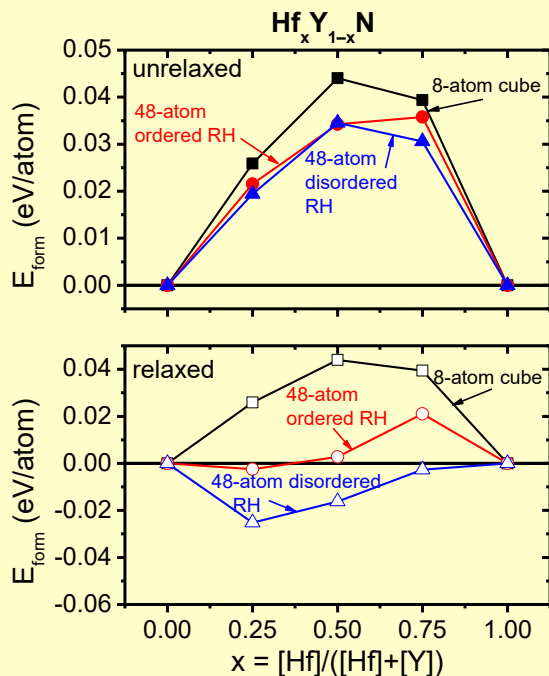


48-atom disordered rhombohedron (RH):  
quasirandom structure

[B. Alling *et al.*, *Phys. Rev. B* **75** (2007) 045123]

# Formation energy of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$

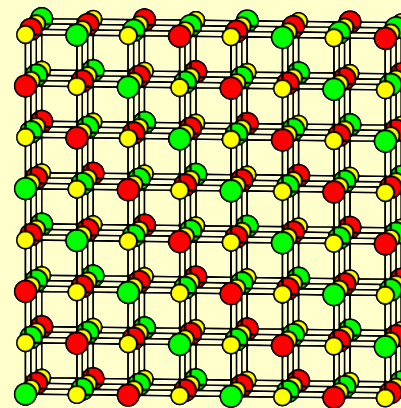
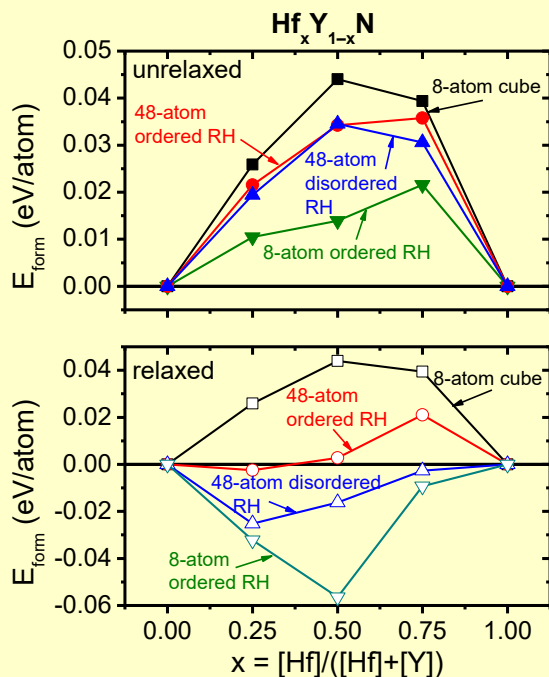
- various atomic distributions in cubic  $\text{Hf}_x\text{M}_{1-x}\text{N}$  lead to various formation energies



48-atom ordered rhombohedron (RH):  
homogeneous  $[110]$  directions

# Formation energy of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$

- various atomic distributions in cubic  $\text{Hf}_x\text{M}_{1-x}\text{N}$  lead to various formation energies

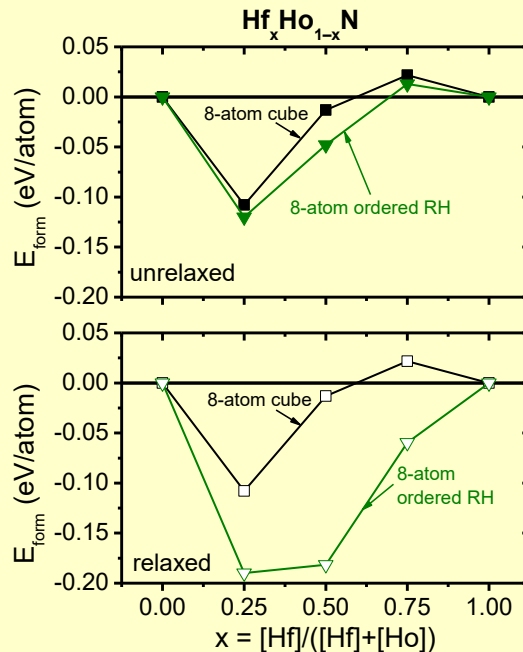
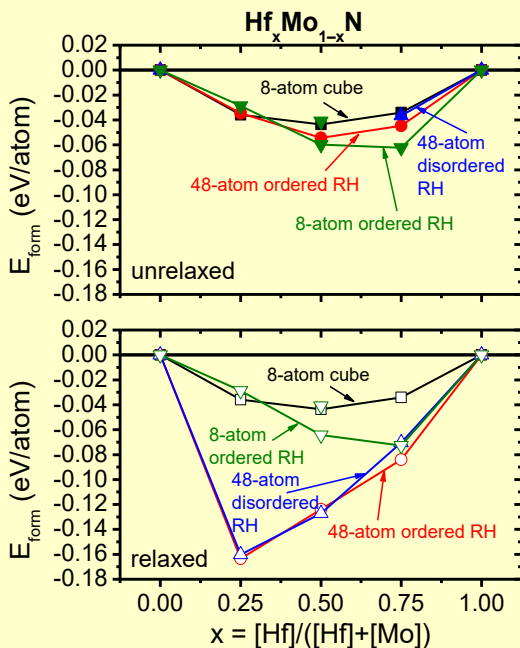
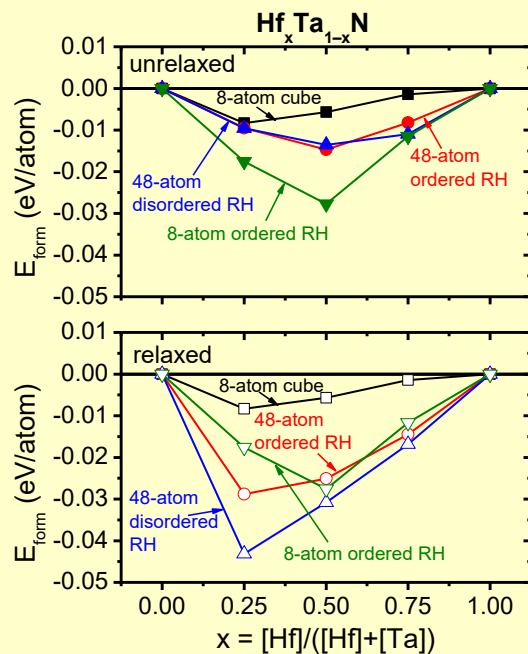


● Hf  
● M  
● N

8-atom ordered rhombohedron (RH):  
homogeneous (111) planes

# Formation energy of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$

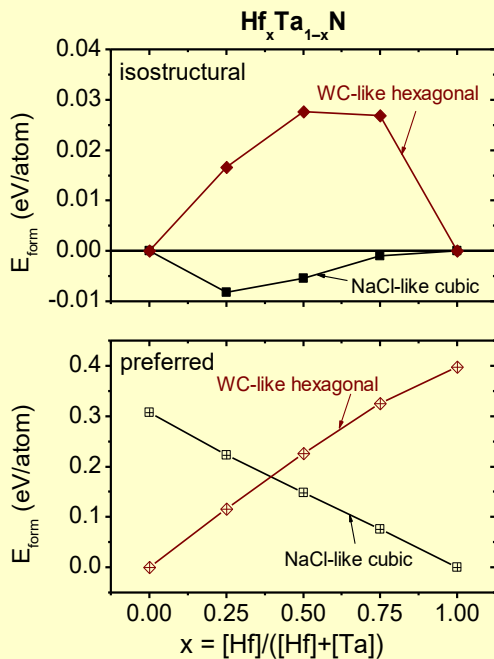
- $E_{\text{form}}$  dependence on atomic distribution



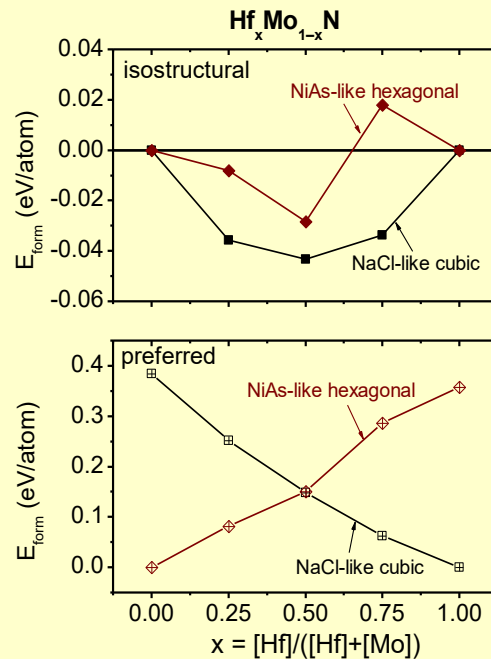
- different metals prefer different distributions of Hf and  $M$  atoms in cubic  $\text{Hf}_x\text{M}_{1-x}\text{N}$

# Formation energy of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$

- $E_{\text{form}}$  dependence on crystalline structure

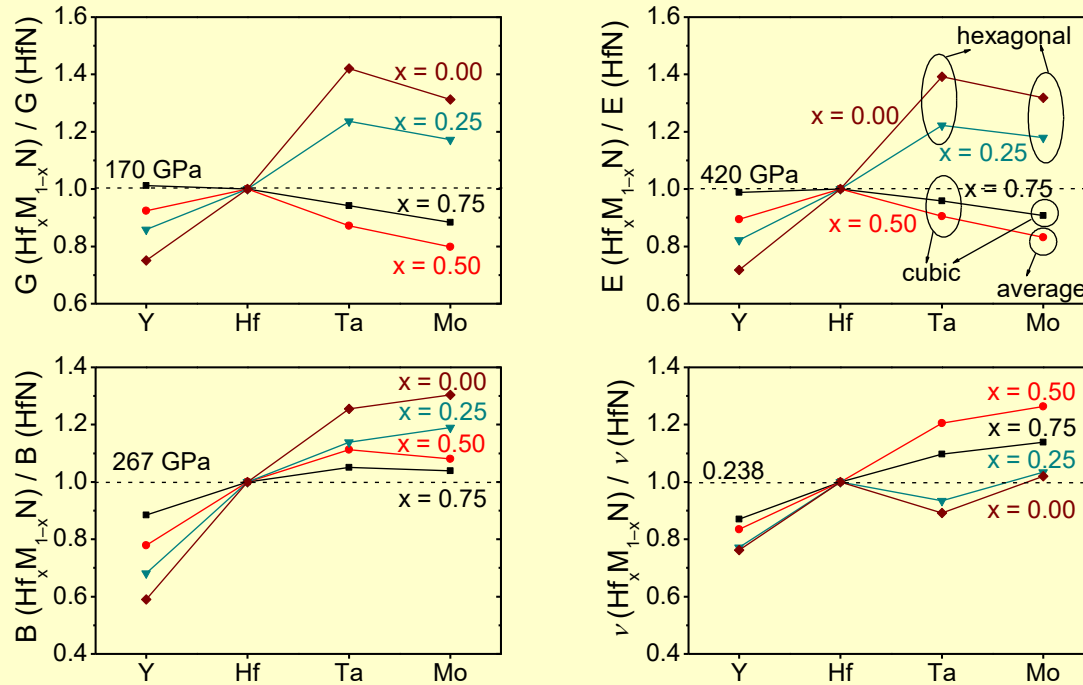


$\text{Hf}_x\text{Ta}_{1-x}\text{N}$  hexagonal at  $x < 0.375$ ,  
cubic at  $x > 0.375$



$\text{Hf}_x\text{Mo}_{1-x}\text{N}$  hexagonal at  $x < 0.5$ ,  
cubic at  $x > 0.5$

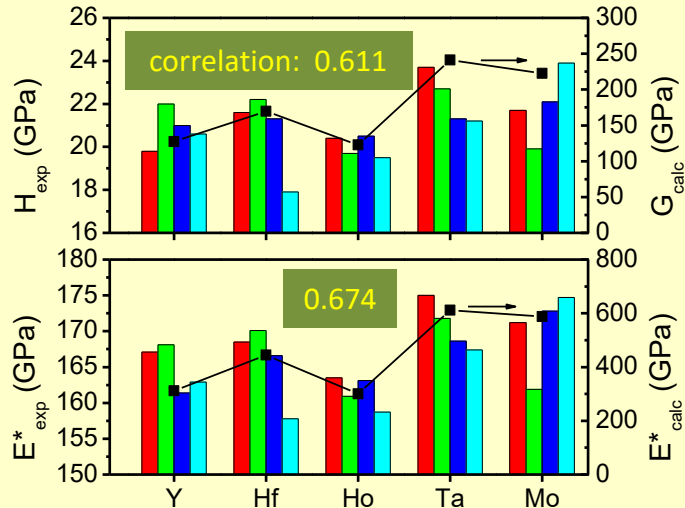
# Mechanical properties of crystalline $\text{Hf}_x\text{M}_{1-x}\text{N}$



- possible enhancement of  $B$ ,  $G$ ,  $E$ ,  $\nu$  of HfN by alloying with TaN or MoN
- non-monotonic dependence of  $G$ ,  $E$ ,  $\nu$  on  $x$

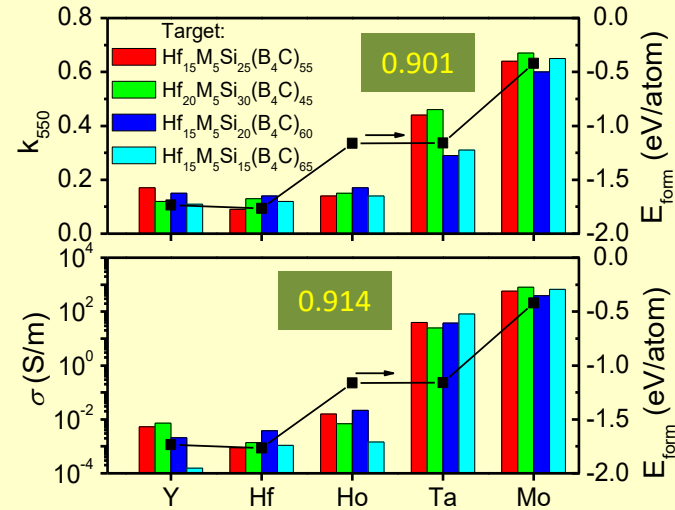
# Crystalline MN and amorphous HfMSiBCN

- mechanical properties



- reasonable correlation
- better prediction by MN than by  $\text{Hf}_x\text{M}_{1-x}\text{N}$

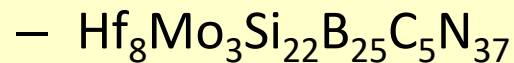
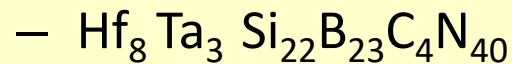
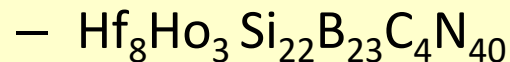
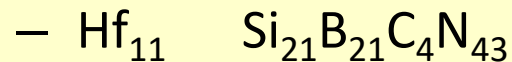
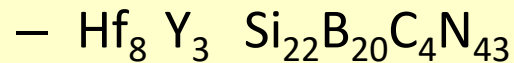
- optical and electrical properties



- high correlation
- monotonous trends with group number

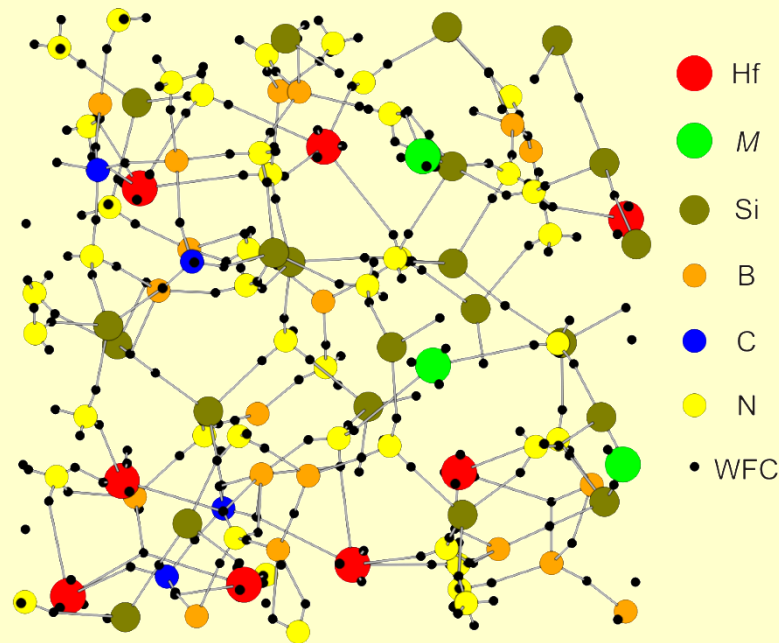
# Simulated amorphous HfMSiBCN

- experiment-based materials



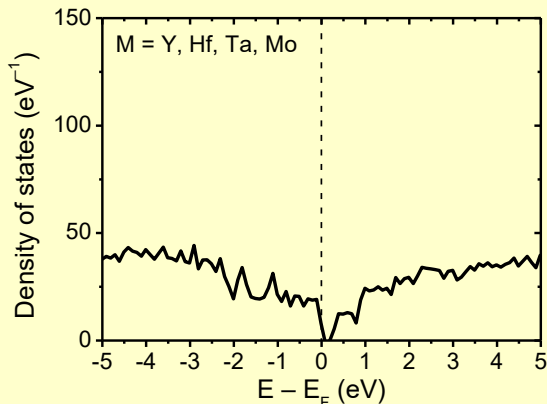
- packing factor 0.47

- liquid-quench algorithm



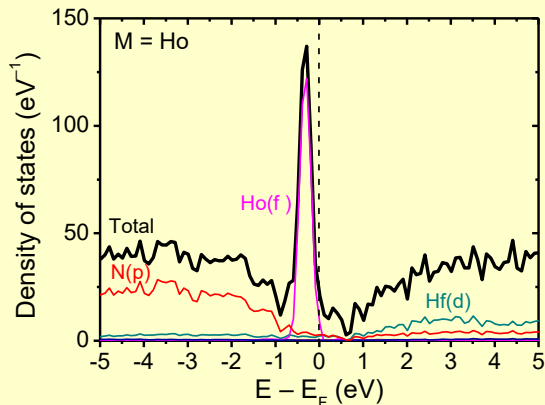
# Simulated amorphous Hf $M$ SiBCN

- density of electronic states



$M = \text{Y, Hf, Ta, Mo}$ :

- confirmation of the semiconductive character
- $\text{Y} \rightarrow \text{Mo}$ :  
observable narrowing of the band gap

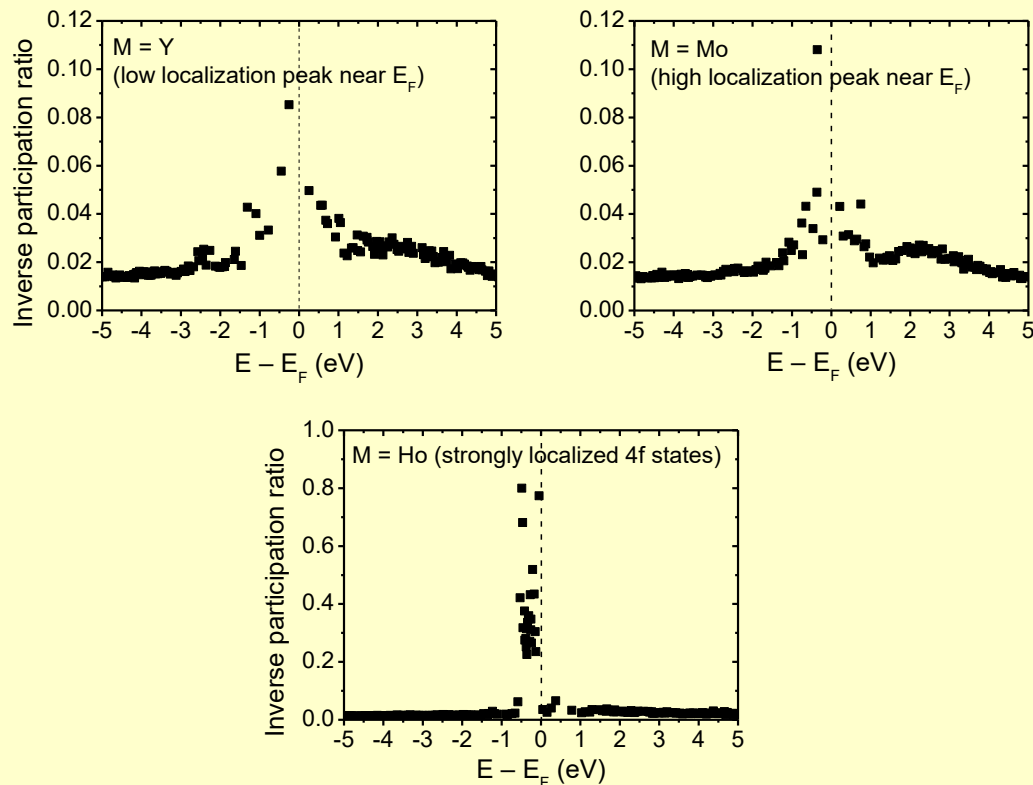


$M = \text{Ho}$ :

- numerous  $4f$  states near the Fermi level

# Simulated amorphous Hf $M$ SiBCN

- localization of electronic states

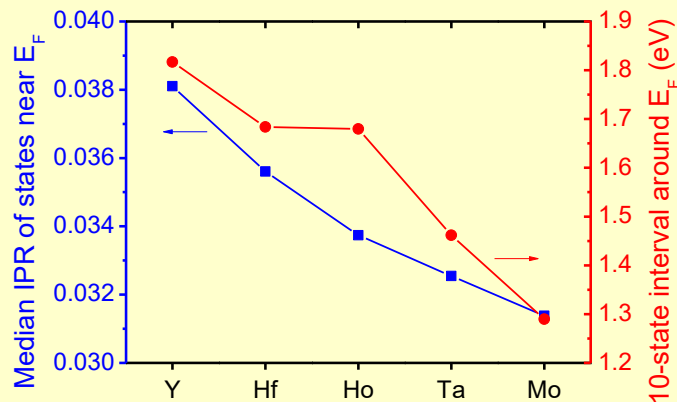


$M = Y, Hf, Ta, Mo$ :  
decent enhancement of inverse participation ratio of states near the Fermi level

$M = Ho$ :  
localized 4f states  
at  $E_F$  do not contribute to electrical conductivity

# Amorphous HfMSiBCN and crystalline MN

- electronic structures

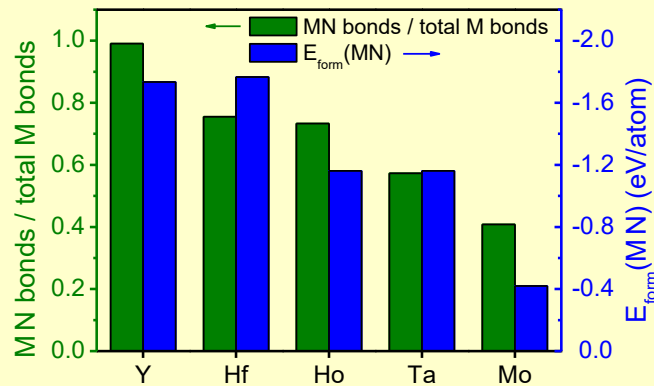


Y  $\rightarrow$  Mo:

- more states close to  $E_F$
- more delocalized states

$\Rightarrow$  Y  $\rightarrow$  Mo: increasing metallicity of HfMSiBCN

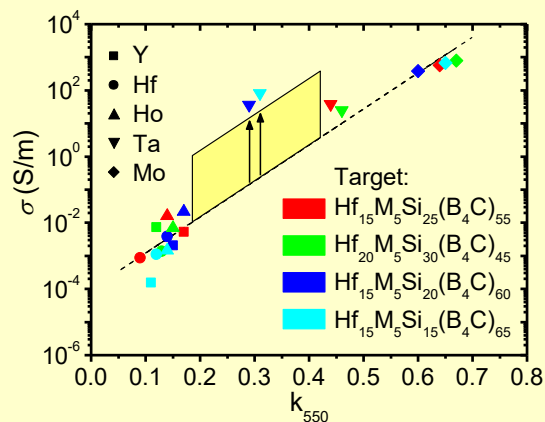
- bonding statistics



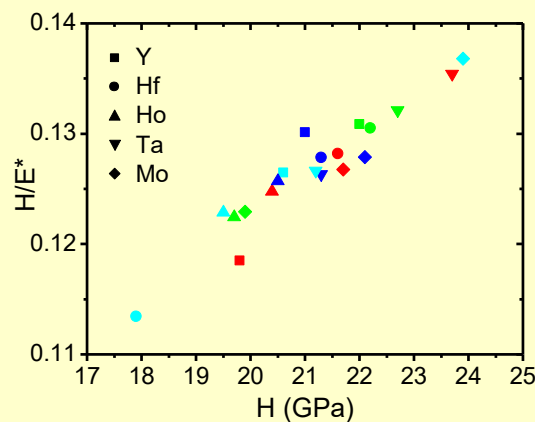
Y  $\rightarrow$  Mo:

- lower preference of  $M$  to bind with N
- lower driving force towards N incorporation

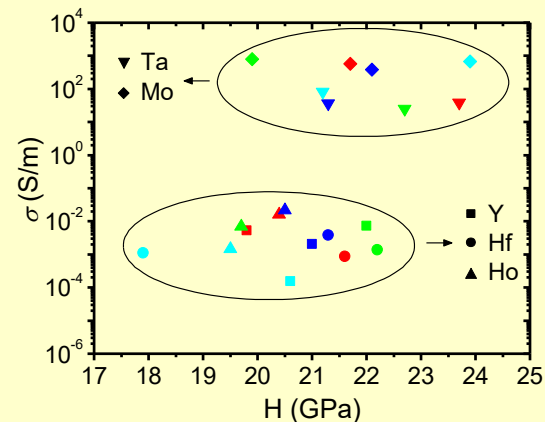
# Synthesized amorphous Hf $M$ SiBCN



correlation: 0.921



0.939



0.372

- correlation of conductivity and opaqueness
  - exceptionally good combinations of  $\sigma$  and  $k_{550}$  for targets 15% Hf, 5% Ta, 15–20% Si, 60–65% B<sub>4</sub>C
- $H/E^*$  strongly correlated with  $H$
- $H > 20$  GPa achievable at any  $\sigma$

# Conclusions

- different metals prefer different atomic distribution in nitride solid solutions
- identical trends of properties of crystalline  $MN$  and amorphous  $HfMSiBCN$ 
  - correlated mechanical properties
  - simultaneous increase in metallicity at higher  $M$  group numbers ( $M = Y \rightarrow Hf \rightarrow Ho \rightarrow Ta \rightarrow Mo$ )
- preparation of films combining high hardness and thermal stability with relatively high electrical conductivity and optical transparency using targets  $Hf_{15}Ta_5Si_{15-20}(B_4C)_{60-65}$